

Code Book

Abbreviations:

nom. Nominal scale (categorical data)

ord. Ordinal scale (rank order)

interv. Interval scale (equidistant points between each of the scale elements)

ratio Ratio scale (equidistant points between each of the scale elements + true zero point)

bin. Binary variable

Hierarchy of variables

No asterisk Conceptually less important

* Conceptually important

Validation of author's impression

insufficient evidence | Impressions of case studies' authors rely on insufficient information: without

providing a clear data-driven justification.

informed guess | Impressions of case studies' authors rely on sufficient information to make an

informed guess.

comprehensive, reliable | Impressions of case studies' authors rely on sufficient, comprehensive and

detailed information: providing a clear data-driven justification.

Glossar:

Case In our research project a case is defined as a dialogue-oriented participation

format within a Participatory Budgeting (PB) and Local Agenda 21 (LA 21) procedure. We are only interested in dialogue-oriented formats. PB and LA 21

procedures in one municipality often consist of several cases.

Experts (equal to interviewees) are involved citizens, politicians, providers of

external support (e.g. moderator), civil society representatives, administrative

staff as well as scientific scholars.

Format Each Participatory Budgeting and Local Agenda 21 procedure consists of a variety

of participation formats, e.g. citizen fora, online platforms, public meetings with citizen, surveys. In the literature these formats are labeled with a variety of

different terms, e.g. participatory channels, tools, processes.

Local Agenda 21 At the Rio Earth Summit in 1992, Agenda 21 was promoted as the global action

program for sustainable development. The purpose of Local Agenda 21 (LA 21) procedures is to encourage local authorities promoting more environmentally, socially and economically sustainable communities together with local civil

society and business actors. A variety of participatory formats (see below) has

but can offer advice to the representative bodies, which have the final say.

Participatory Budgeting

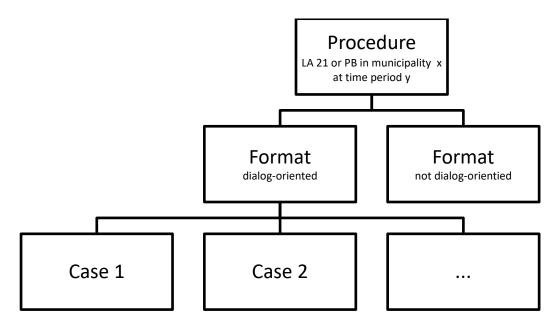
Participatory Budgeting (PB) was invented in Porto Alegre, Brazil, and spread all over the globe. The purpose is to enable citizens to participate in the debate about how to allocate parts of municipal budget. A variety of participatory formats has been applied. In Germany, Participatory Budgeting procedures have no decision-making authority, but can offer advice to the representative bodies, which have the final say.

been applied. Local Agenda 21 procedures have no decision-making authority,

Procedure

In our codebook a procedure is a Local Agenda 21 and a Participatory Budgeting procedure taking place in a German municipality at a particular period of time, e.g. Participatory Budgeting in Frankfurt 2011-2013.

Graph: Explaining the usage of the terms procedure, format, case



Content:

1. General Information (independent variable)

- 1.1 procedure and case
- 1.2 study

2. Context variables (independent variable)

- 2.1 municipality_context
- 2.2 procedure_context (incl. goals of procedure)

3. Stakeholders/Actors (independent variable)

4. Case design (independent variable)

- 4.1 goals of case
- 4.2 design of case (more specific)

5. Results (dependent variable)

- 5.1 micro-level
- 5.2 meso-level
- 5.3 macro-level

1. General Information

	Variable name	Scale	Variable explanation	Variable values
1	IDNR	nom.	Identification number	1, 2, 3,
2	ID_case		Identification of case: unique case name (municipal name _case description)	text area
3	ID_coder	nom.	Identification of coder: coder's initials	1: MB 2: PH 3: NJ 4: n.n.
4	date_coding	date	Date of completion of coding	dd_mm_yyyy
		р	rocedure and case	
5	procedure	nom.	Type of participatory procedure	1: Participatory Budgeting 2: Local Agenda 21
6	*decision_council	bin.	City council decision(to conduct PB/LA-21)	0: no 1: yes 98: no information available
7	decision_council_d	date	Year of city council decision (to conduct PB/LA-21)	yyyy 99: not applicable
8	procedure_format		Description of formats applied in procedure	text area
9	procedure_formats_1	bin.	Formats applied in procedure: information: face- to-face meetings	0: no 1: yes
10	procedure_formats_2	bin.	Formats applied in procedure: information: online	0: no 1: yes
11	procedure_formats_3	bin.	Formats applied in procedure: information: other (flyer, advertisement, etc.)	0: no 1: yes
12	procedure_formats_4	bin.	Formats applied in PB: proposal making: face-to-face without dialog	0: no 1: yes
13	procedure_formats_5	bin.	Formats applied in PB: proposal making: face-to-face with dialog	0: no 1: yes
14	procedure_formats_6	bin.	Formats applied in PB: proposal making: online without dialog	0: no 1: yes
15	procedure_formats_7	bin.	Formats applied in PB: proposal making: online with dialog	0: no 1: yes
16	procedure_formats_8	bin.	Formats applied in PB: proposal making: other (mail, phone, etc.)	0: no 1: yes

17			Formats applied in PB:	
17	procedure_formats_9	bin.	prioritization of proposals: offline voting without dialog	0: no 1: yes
18	procedure_formats_10	bin.	Formats applied in PB: prioritization of proposals: offline with dialog	0: no 1: yes
19	procedure_formats_11	bin.	Formats applied in PB: prioritization of proposals: online voting without dialog	0: no 1: yes
20	procedure_formats_12	bin.	Formats applied PB: prioritization of proposals: online voting with dialog	0: no 1: yes
21	procedure_formats_13	bin.	Formats applied in PB: prioritization of proposals: other (opinion poll, etc.)	0: no 1: yes
22	procedure_formats_14	bin.	Formats applied in LA 21: discussion of working groups on specific topics ("Arbeitskreis")	0: no 1: yes
23	procedure_formats_15	bin.	Formats applied in LA 21: discussion in open space groups (so called Forum)	0: no 1: yes
24	procedure_formats_16	bin.	Formats applied in LA 21: dialog- oriented decision-making on own projects	0: no 1: yes
25	procedure_formats_17	bin.	Formats applied in procedure: Accountability meeting	0: no 1: yes
26	procedure_formats_18	bin.	Formats applied in procedure: surveys	0: no 1: yes
27	procedure_formats_19	bin.	Formats applied in procedure: advisory board/s ("Beirat", "Redaktionsgruppe", "Lenkungs- gremium", "Projektgruppe", "Koordinationskreis")	0: no 1: yes
28	procedure_formats_20	bin.	Formats applied in procedure: structural, standardized meetings (future conference, future workshop, consensus conference, world café)	0: no 1: yes
29	procedure_formats_21		Other formats applied in procedure	text
30	case	nom.	Case description (object of inquiry/research)	text area

				1: proposal making: face-to-
				face with dialog
				2: proposal making: online with
				dialog
				3: prioritization of proposals:
				offline with dialog
				4: prioritization of proposals:
				online voting with dialog
				5: discussion of working groups
				on specific topics
				("Arbeitskreis")
				6: discussion in open space
				groups (so called Forum)
				7: dialog-oriented decision-
				making on own projects
				8: structural, standardized
				meetings (future conference,
				future workshop, consensus
				conference, world café)
31	procedure _start	date	Procedure start date	уууу
32	procedure _end	date	Procedure end date (estimated)	уууу
	p. 600 aa. e _ea		Troccaure erra auto (commutea)	97: still ongoing at end of study
33	*procedure institut	bin.	Degree of procedure	0: nonrecurring
	P. 0 0 0 0 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		institutionalization as planned	1: continuing
34	case_start	date	Case start date	dd_mm_yyyy
35	case_end	date	Case end date (estimated)	dd_mm_yyyy
36	case_institut	bin.	Degree of case institutionalization	0: nonrecurring
			as planned	1: continuing
37			Organization or group initiating the	
			procedure PB/LA-21	
	*procedure _initiator		(governmental or non-state	text area
			actor(s)): name + function (as	
			detailed as possible)	
38			Organization or group organizing	
			the procedure PB/LA-21	
	procedure _organiser		(governmental or non-state	text area
			actor(s)): name + function (as	
			detailed as possible)	
			study	
39	source_title		Bibliographic citation: title	text area

40	source_publisher		Bibliographic citation: publisher/editor	text area	
			· ·		
41	source_URL		Bibliographic citation: internet URL	text area	
			with access date	99: not applicable	
42				1: peer-reviewed publication	
				2: scholarly publication	
				3: scientific evaluation	
				4: publication by public	
				participation professionals (e.g.	
	source_type	nom.	Type of source	ZEBRALOG)	
				5: conference paper	
				6: administration report	
				7: dissertation	
				8: diplom/master thesis (etc.)	
				9: other named	
43				name/s	
	author		Author/s of study	text area	
44				1: scientist	
				2: professional services staff	
	author_type	nom.	Background of author/s	3: administrative staff	
				4: participant	
				5: student	
				If other, name it (also authors	
				with multiple backgrounds).	
45	date_publication	date	Date of publication	dd_mm_yyyy	
46				1: qualitativ	
	method	nom.	Method of study	2: quantitativ	
			,	3: mixed	
47			Coder's impression of scientific		
			value of study		
			a. Description of case available?	0: limited (1 or 2 indicator(s)	
			b. Source of data mentioned?	are/is mentioned)	
			c. Data reliable and valid?	1: (3 indicators are mentioned)	
	scientific_value	ord.	d. Methods of analysis	2: (4 indicators are mentioned)	
	value	oru.	mentioned?	3: (5 indicators are mentioned)	
				4: excellent (all 6 indicators are	
			e. Methods of analysis reliable and valid?		
				mentioned)	
			f. Results 'reasonable' (or rather		
40			ad hoc hypothesis)?		
48	contact_person		person(s) to contact for	text area	
			interviewing etc.	_	

49	source_other_stud	To gain more data: Which study is used?	other text area 96: no other source
50	source_other_URL	To gain more data: Which (for PB or LA 21) is used?	website text area 96: no other source
51	source_other_exp	To gain more data: Which were interviewed?	experts text area 96: no other source
52	source_other	To gain more data: Which source is used?	other text area 96: no other source

2. Context variables

Standard sources of all context variables: Statistical offices of the Länder or wegweiser-kommune.de

	Variable name	Scale	Variable explanation	Variable values
		munici	pality_context	
53				1: Baden-Württemberg
				2: Bavaria
				3: Berlin
				4: Brandenburg
				5: Bremen
	federal_state			6: Hamburg
				7: Hesse
			Name of the federal state in	8: Lower Saxony
	federal_state no	nom	which the procedure (PB or LA	9: Mecklenburg-West
		110111.	21) took place.	Pomerania
			21) took place.	10: North Rhine-
				Westphalia
				11: Rhineland-Palatinate
				12: Saarland
				13: Saxony
				14: Saxony-Anhalt
				15: Schleswig-Holstein
				16: Thuringia
54			Type of democracy of federal	
	*dem_type	nom	state: Concurrence Democracy	1: Concurrence Democracy
	dem_type	110111.	or Concordance Democracy	2: Concordance Democracy
			(see Holtkamp 2008: 121)	
55				1: Süddeutsche
	rederal_state no	nom	Local constitution of federal	Ratsverfassung_1
	loc_constitution	110111.	state (see list).	2: Süddeutsche
		nom.		Ratsverfassung_2
	<u> </u>	l .	<u> </u>	<u> </u>

		1		T
				3: Süddeutsche
				Ratsverfassung_3
				4: Süddeutsche
				Ratsverfassung_4
				5: Süddeutsche
				Ratsverfassung_5
				6: Süddeutsche
				Ratsverfassung_6
				7: Magistratsverfassung
				99: not applicable
56			Name of the municipal / city /	
	municipal_name		district in which the procedure	text area
			(PB or LA 21) took place.	
	Note for coder(s): all f	ollowir	ng figures refer to the year	(s) of case
57	*municipal_size	ratio	Municipal population	figure
58	*brutto_product	ratio	Municipal revenues per capita	figure
59	*debts	ratio	Municipal debts per capita	figure
60	46010		Total (financial) budget of	
00	*budget_fin_procedure	ratio	procedure (PB or LA 21) (in €)	figure
61			Total (financial) budget of case	
01	budget_fin_case	ratio	(in €)	figure
62	*unemployment_rate	ratio	Municipal unemployment rate	figure
63			Proportion of foreign citizens in	
			municipal / city / district in	c.
	foreigners	ratio	which the procedure (PB or LA	figure
			21) took place.	
64			Author's/interviewee's	11
	*"		impression of pressure	text
	*"pressure"		(financial, fragmentation,	98: no information
			migration)	available
65				0: insufficient evidence
			How valid is author's	1: informed guess
	"pressure"_autimpression	ord.	impression?	2: comprehensive, reliable
				99: not applicable
66			Is there a university or a	
	university	bin.	university of applied science in	0: no
	•		municipality?	1: yes
67	*ak kaa.a.k :==t		Municipal voter turnout at last	£:
	*vot_turnout_nat	ratio	national (Bundestag) elections	figure
68			Municipal voter turnout at last	
	*vot_turnout_reg	ratio	regional (Landtag) elections	figure

69			Voter turnout at city council				
	*vot_turnout_council		elections since 1990	text			
70	*vot turnout mayor		Voter turnout at mayor	text			
	vot_turnout_mayor		elections since 1990	text			
71			Is there a participatory concept	0: no			
	*concept participatory	bin.	plan/map (position paper) in	1: yes			
			municipality?	98: no information			
				available			
72	concept participatory year	bin.	Year of participatory concept	уууу			
			plan/map (position paper)	99: not applicable			
73			Is there special staff concerning	0: no			
			civic participation in general	1: yes			
	*staff_special	bin.	(not specifically for procedure)?	98: no information			
				(Referent für Bürgerbeteiligung,	available		
			Bürgerbeauftragter)				
74			Author's/interviewee's	0: no procedures			
	*"number"_dialogue-oriented				impression: How many		
		ratio		4: many procedure			
				98: no information			
				available			
75				0: insufficient evidence			
	"number"_dialogue-oriented	ord.	ord.	ord.	ord.	How valid is author's	1: informed guess
	_autimpression			impression?	2: comprehensive, reliable		
				99: not applicable			
76			Author's/interviewee's	-2: very negative			
	*perceived_dialogue-oriented		impression: How were dialogue-				
		ratio	oriented procedures perceived	+2: very positive			
			in citizenry?	98: no information			
				available			
77	and the second s		Harris altal to a sale of	0: insufficient evidence			
	perceived_dialogue-	ord.	How valid is author's	1: informed guess			
	oriented_autimpression		impression?	2: comprehensive, reliable			
70			Dana manusiainalite kalana ta	99: not applicable			
78	*	la tra	Does municipality belong to	0: no			
	*participatory_communes	bin.	civitas or other participatory	1: yes			
79			network? (see list)				
/9			Municipal experience with				
	*direct democracy_experience	ratio	direct democracy (see	figure (until start of case)			
			Datenbank lokale direkte	,			
			<u>Demokratie in Deutschland</u>)				

80			Number of all registered	I
80	*associations_registr	ratio	associations (see register of	if data available:
	associations_registi	Tatio	associations)	figure
01			·	
81	y cu		Does author/interviewee	0: no
	*conflict	bin.	mention conflict within	1: yes
			citizenry?	
82	conflict_name		Name of conflict in municipality	text area
	_			99: not applicable
83			Author's/interviewee's	0: conflictual
	*procedure communic actors		impression of communication	
	*procedure_communic_actors	bin.	style between politicians,	4: cooperative
			administration and citizenry	98: no information
			(procedure)	available
84				0: insufficient evidence
	procedure_communic_actors	ord.	How valid is author's	1: informed guess
	_autimpression	ora.	impression?	2: comprehensive, reliable
				99: not applicable
85				1: CDU/CSU
				2: SPD
	*party_mayor	nom.	Party membership of the mayor	3: BÜNDNIS 90/DIE
				GRÜNEN
				4: FDP
				5: DIE LINKE
				6: Freie Wähler
				7: independent
				8: other
86				1: CDU/CSU
80				2: SPD
				3: BÜNDNIS 90/DIE
			Party with relative majority in	GRÜNEN
	*party_council	nom.	council (party with most	
			number of seats)	4: FDP
				5: DIE LINKE
				6: Freie Wähler
				7: other
87	council_election_last	date	Last council election	уууу
88		ratio	Last council elections: Number	
	council_election_CDU/CSU	ratio	of seats (CDU/CSU)	figure
89			Last council elections: Number	
	council_election_SPD	ratio	of seats (SPD)	figure
90			Last council elections: Number	
90	council_election_GRÜNEN	ratio	of seats (DIE GRÜNEN)	figure
			, ,	

		_		
91	council_election_FDP	ratio	Last council elections: Number of seats (FDP)	figure
92	council_election_LINKE	ratio	Last council elections: Number of seats (DIE LINKE)	figure
93	council_election_FW	ratio	Last council elections: Number of seats (FREIE WÄHLER)	figure
94	council_election_other	ratio	Last council elections: Number of seats (other)	figure
95	council_election_next	date	Next council election	уууу
96	mayor_election_next	date	Next election of mayor	уууу
		proce	dure_context	
97				name
			Pilot project (PB or LA-21),	0: no
	pilot	bin.	particularly supported	98: no information
			, , , ,	available
98			Intended purpose of procedure	
	procedure_purpose_intend		by initiators.	text
99			Author's impression of intended	
33	procedure_purpose_intend_aut		purpose of procedure by	text
	procedure_purpose_intend_aut		initiators.	tent
100				figure
	procedure_partici_pl	ratio	Planned number of procedure participants	98: no information
				available
101				0: no
			Is there an information phase	1: yes
	*procedure_inform	ratio	included in procedure (PB or LA	98: no information
			21)?	available
102			Which information channels	text
	procedure_inform_channels		were used?	99: not applicable
103				Name topics
			To which specific topics is the	0: none
	topic_specific	bin.	participatory procedure (PB or	98: no information
			LA 21) limited?	available
104			Is participatory procedure (LA	Name support
	*cupport fodered	la to-	21) supported (financially,	0: no
	*support_federal	bin.	infrastructural) by the federal	98: no information
			state?	available
105				0: no
	*procedure staff	bin.	Is there staff especially for the	1: yes
	*procedure_staff	DIN.	procedure (PB or LA 21)?	98: no information
			1	•

106				figure
100			Number of staff for the	99: not applicable
	procedure_nb_staff	ratio	procedure (PB or LA 21)	98: no information
			procedure (FB of LA 21)	available
407			Durandary (DD and A 24)	
107			Procedure (PB or LA 21) was	0: no external support
	*procedure_external_support	bin.	accompanied by external	1: external support
		2	support (professional services,	98: no information
			scientific advice)	available
108			How did the external support	text
	procedure external support what		accompanied the procedure (PB	99: not applicable
	procedure_external_support_what		or LA 21), e.g. software,	98: no information
			moderator?	available
109			Which external support	text
	was and was automated as well as the			99: not applicable
	procedure_external_support_det		accompanied the procedure (PB	98: no information
			or LA 21), e.g. Zebralog?	available
110			Plan for publicly available	0: no plan
		ratio	ratio written documentation about	1: plan
	*procedure_transparency			98: no information
			procedure?	available
111				0: no plan for
				accountability
				1: basic information on
				accountability (without
	*		Is there a clear plan for	scheduled dates)
	*procedure_accountability	ord.	accountability?	2: clear plan for
				accountability (with
				scheduled dates)
				98: no information
				available
112		1 .	When did the first procedure	уууу
	procedure _institute_first	date	(PB or LA 21) start?	
113			Author's impression: Change of	
	context_change		context during procedure.	text
<u> </u>				

3. Stakeholders/Actors

	Variable name	Scale	Variable explanation	Variable values
	Before start of pro	cedure/	Before and at council decis	sion
114	*procedure_support_city council	ratio	Author's/interviewee's impression of degree of procedure support (engagement for procedure) by city council	0: no support 4: very strong support 98: no information
115	procedure_support_city council _autimpression	ord.	members How valid is author's impression?	available 0: insufficient evidence 1: informed guess 2: comprehensive, reliable 99: not applicable
116	*procedure_support_mayor	ratio	Author's/interviewee's impression of degree of procedure support by mayor	0: no support 4: very strong support 98: no information available
117	procedure_support_mayor _autimpression	ord.	How valid is author's impression?	0: insufficient evidence 1: informed guess 2: comprehensive, reliable 99: not applicable
118	*procedure_support_admin	ratio	Author's/interviewee's impression of degree of procedure support by administration	0: no support 4: very strong support 98: no information available
119	procedure_support_admin _autimpression	ord.	How valid is author's impression?	0: insufficient evidence 1: informed guess 2: comprehensive, reliable 99: not applicable
120	*procedure_support_civilsociety_loc	ratio	Author's /interviewee's impression of degree of procedure support by local civil society (local associations)	0: no support 4: very strong support 98: no information available
121	procedure_support_civilsociety_loc _autimpression	ord.	How valid is author's impression?	0: insufficient evidence 1: informed guess

122	stakeholder_change	During	Author's impression: Change of stakeholder during procedure.	2: comprehensive, reliable 99: not applicable text
123	*procedure_partici_mayor	bin.	Did mayor participate in procedure?	0: no 1: yes 98: no information available
124	*procedure_partici_local politicians_other	bin.	Did other local politicians participate in procedure?	0: no 1: yes 98: no information available
125	*media_report	ratio	Author's impression: How intensive did media report on procedure? If information on media report is available but unspecific, use code 2.	0: no report 4: extensive report 98: no information available
126	media_report_autimpression	ord.	How valid is author's impression?	0: insufficient evidence 1: informed guess 2: comprehensive, reliable 99: not applicable
127	*media_report_how	ratio	Author's impression: How did media report on procedure?	-2: negative +2: positive
128	media_report_how_autimpression	ord.	How valid is author's impression?	0: insufficient evidence 1: informed guess 2: comprehensive, reliable 99: not applicable

4. Case design

	Variable name	Scale	Variable explanation	Variable values
			goals of case	
129	case purpose intend		Intended purpose of case by	text
			initiators.	ton
130	case_purpose_intend_aut		Author's impression of Intended	text
			purpose of case by initiators.	tox
131	case_purpose_intend_cod		Coder's impression of Intended	text
			purpose of case by initiators.	
		design o	f case (more specific)	
132				1: open to all/self-selection
				2: targeted recruitment (e.g.
			Method of participant	appointed, invited)
	*partici_select	nom.	recruitment	3: random selection
			recruitment	4: stakeholder recruitment
				5: election
				If mixed, name all
133			Instruments to involve the	Name instrument
	*special_select	bin.	political inactive (is there a direct	0: no
			addressing of certain groups?)	98: no information available
134				1: general public
				2: women
				3: men
			Targeted participants (demographic)	4: elderly
	nartici domo targ	nom.		5: youth
	partici_demo_targ			6: immigrants
				7: low-income earners
				8: people with disabilities
				9: other
				98: no information available
135				0: no
	partici_targ_citizen	bin.	Targeted participants: citizen	1: yes
				98: no information available
136			Targeted participants: interests	0: no
	partici_targ_interest	bin.	Targeted participants: interests	1: yes
			groups	98: no information available
137	partici_ targ_other		Targeted participants: other, e.g.	text
	partici_ targ_otrici		stakeholder	tent
138			Which ways to "ease"	
	*"ease"_participants		participation (child care, ticket)	text
			were used?	
1	<u> </u>	1	<u> </u>	<u> </u>

139				0: no
	*moderator	bin.	Is involvement of moderator	1: yes
	moderator	Diri.	planned?	98: no information available
140				
140			Is there an information phase	0: no
	*case_inform	bin.	within case planned?	1: yes
				98: no information available
141	case_inform_channels		Which information channels were	text
			planned?	99: not applicable
142				0: no
	tools_delib_online_software	bin.	Is there a certain software/web	1: yes
	toois_delib_offilite_software	Dill.	platform (blog, wiki) planned?	99: not applicable
				98: no information available
143				0: no
			Are there any rules concerning	1: yes
	*tools_delib_online_rules	bin.	the communication process	99: not applicable
			(netiquette) planned?	98: no information available
144				0: no
				1: yes
	*tools_delib_online_control	bin.	Is control of rules planned?	99: not applicable
				98: no information available
145				
145			Is there a clear planning within	0: no clear planning
	*tools_delib_face-to-face	bin.	the case design: information, communication, decision finding?	1: clear planning
				99: not applicable
				98: no information available
146			Participation of local politicians planned (in case)	0: no participation planned
	*case_partici_polit_pl	bin.		1: planned participation
			(· · · · · · · · · · · · · · · · · · ·	98: no information available
147			Participation of administrative	0: no participation planned
	*case_partici_admin	bin.	staff planned (in case)	1: planned participation
			stan planned (in case)	98: no information available
148			External support (professional	0: no external support
	*case_external_support	bin.	services, scientific advice) was	1: external support
			planned to accompany case.	98: no information available
149			Which external support was	text
	case _external_support_what		planned to accompany case, e.g.	99: not applicable
			software, moderator?	98: no information available
150				text
	case external support det		Who was planned to support	99: not applicable
	case _external_support_uet		case, e.g. Zebralog?	98: no information available
151				0: no plan
131	*case transparency		How well is the plan for	
	case_cransparency	bin.	publication or public information,	A. woll designed plan
				4: well-designed plan

			e.g. online dates for hearings or	98: no information available
			press releases?	
152				0: no plan for accountability
				1: basic information on
				accountability (without
	*case accountability		Is there a clear plan for	scheduled dates)
	case_accountability	ord.	accountability?	2: clear plan for
				accountability (with
				scheduled dates)
				98: no information available

5. Results

	Variable name	Scale	Variable explanation	Variable values
			micro-level	
153	*knowledge_issue	ratio	Author's/interviewee's impression whether issue knowledge of participants improved.	0: no improved knowledge 4: substantially improved knowledge 98: no information available
154	knowledge_issue_autimpression	ord.	How valid is author's impression?	0: insufficient evidence 1: informed guess 2: comprehensive, reliable 99: not applicable
155	*knowledge_pol	ratio	Author's/interviewee's impression whether political knowledge of participants improved.	0: no improved knowledge 4: substantially improved knowledge 98: no information available
156	knowledge_pol_autimpression	ord.	How valid is author's impression?	0: insufficient evidence 1: informed guess 2: comprehensive, reliable 99: not applicable
157	*efficacy_internal	ratio	Author's/interviewee's impression whether internal efficacy improved. Internal efficacy covers the individual ability (skills, knowledge and interest) to influence political processes.	0: no improved internal efficacy 4: substantially improved internal efficacy 98: no information available
158	efficacy_internal_autimpression	ord.	How valid is author's impression?	0: insufficient evidence 1: informed guess 2: comprehensive, reliable 99: not applicable
159	*efficacy_external	ratio	Author's/interviewee's impression whether external efficacy improved. External efficacy covers the	0: no improved external efficacy

			individual perception of impact on	4: substantially improved
			political processes.	external efficacy
			p and a second	98: no information
				available
160				0: insufficient evidence
100				1: informed guess
	efficacy_external_autimpression	ord.	How valid is author's impression?	2: comprehensive,
	emcacy_external_autimpression	oru.	frow valid is author's impression:	reliable
				99: not applicable
161				
161				0: no improved tolerance
	*tolerance	ratio	Author's impression whether	4: substantially improved
			tolerance of participants improved.	tolerance
				98: no information
				available
162				0: insufficient evidence
				1: informed guess
	tolerance_autimpression	ord.	How valid is author's impression?	2: comprehensive,
				reliable
				99: not applicable
163				0: no improved common
				good orientation
			Author's impression whether common good orientation of participants improved.	
	*			4: substantially improved
	*common good orientation	ratio		common good
				orientation
				98: no information
				available
164				0: insufficient evidence
				1: informed guess
	common good	ord.	How valid is author's impression?	2: comprehensive,
	orientation_autimpression		·	reliable
				99: not applicable
165				-2: declined acceptance
			Author's/interviewee's impression	
	*acceptance_dec	ratio	whether acceptance of local political	+2: improved acceptance
	asseptanies_uce		decisions improved.	98: no information
				available
166				0: insufficient evidence
100				
	acceptance_dec_autimpression	ord.	How valid is author's impression?	1: informed guess
				2: comprehensive,
				reliable

				99: not applicable
167	*acceptance_trust_inst	ratio	Author's/interviewee's impression whether acceptance/trust of institutions of representative democracy (mayor, council) improved.	-2: declined acceptance +2: improved acceptance 98: no information available 0: insufficient evidence 1: informed guess
	acceptance_trust_inst _autimpression	ord.	How valid is author's impression?	2: comprehensive, reliable 99: not applicable
169	*acceptance_trust_polit	ratio	Author's/interviewee's impression whether acceptance/trust of politicians (mayor_person, council members) improved.	-2: declined acceptance +2: improved acceptance 98: no information available
170	acceptance_trust_polit _autimpression	ord.	How valid is author's impression?	0: insufficient evidence 1: informed guess 2: comprehensive, reliable 99: not applicable
171	*satisfaction_case	ratio	Author's/interviewee's impression of participants' satisfaction with case.	O: not satisfied with case 4: really satisfied with case 98: no information available
172	satisfaction_case_autimpression	ord.	How valid is author's impression?	0: insufficient evidence 1: informed guess 2: comprehensive, reliable 99: not applicable
173	participants_changes_other		Other changes regarding participants.	text
			meso-level	
174	group_interaction		Author's impression: Description of group interaction, e.g. good discussion, building of network or trust.	text
175	*delib_quality_implement	bin.	Are there any rules concerning the communication process (online,	0: no 1: yes

			face-to-face deliberation)	98: no information
			implemented?	available
176	*delib_quality_interaction	ratio	Author's impression of quality of deliberation	0: no deliberation 4: very good deliberation 98: no information available
177	delib_quality_interaction _autimpression	ord.	How valid is author's impression?	0: insufficient evidence 1: informed guess 2: comprehensive, reliable 99: not applicable
178	*delib_quality		Author's impression of deliberative quality.	text
179	*delib_quality_respect	ratio	Author's impression of change towards more respectful interactions between participants (see Bächtiger/Wyss 2013)	0: no change 4: lots of change 98: no information available
180	delib_quality_respect _autimpression	ord.	How valid is author's impression?	0: insufficient evidence 1: informed guess 2: comprehensive, reliable 99: not applicable
181	*delib_quality_argument	ratio	Author's impression of change of interactions towards argumentative and not rhetoric.	0: no change 4: lots of change 98: no information available
182	delib_quality_argument _autimpression	ord.	How valid is author's impression?	0: insufficient evidence 1: informed guess 2: comprehensive, reliable 99: not applicable
183	*delib_quality_information	ratio	Author's impression of change of interactions towards objective information (factual) rather than subjective information (opinion, judgment, belief) (see Kolleck).	0: no change 4: lots of change 98: no information available
184	delib_quality_information _autimpression	ord.	How valid is author's impression?	0: insufficient evidence 1: informed guess

				2: comprehensive,
				reliable
				99: not applicable
185				0: no change
			Author's impression of change of	
	*delib_quality_value	ratio	interactions towards value based	4: lots of change
			communication (see Kolleck).	98: no information
				available
186				0: insufficient evidence
	delile evelite velve			1: informed guess
	delib_quality_value	ord.	How valid is author's impression?	2: comprehensive,
	_autimpression			reliable
				99: not applicable
187			Author's improceing of animing	0: no change
			Author's impression of opinion change toward more 'public-spirited	
	*delib_quality_publicspirited	ratio	view' (see Mutz 2008: 530) of whole	4: lots of change
				98: no information
			group.	available
188				0: insufficient evidence
	delib_quality_publicspirited			1: informed guess
	_autimpression	ord.	How valid is author's impression?	2: comprehensive,
	_addinipression			reliable
				99: not applicable
189	deliberation_change_other		Other changes regarding	text
	deliberation_enange_other		deliberation.	text
190				Figure
	*partici_number	ratio	Number of participants in case	98: no information
				available
191				As indicated in the
				studies.
	*inclusion_sex		Case participation by sex.	text area
				98: no information
				available
192				As indicated in the
				studies.
	*inclusion_age		Case participation by age.	text area
				98: no information
				available
193				As indicated in the
	*inclusion_education		Case participation by education.	studies.
1				text area

				98: no information
				available
194				As indicated in the
				studies.
	*inclusion_employment		Case participation by employment	text area
			situation.	98: no information
				available
195				As indicated in the
				studies.
	*inclusion_immigrants		Case participation of immigrants.	text area
				98: no information
				available
196	inclusion_citizen	bin.	Involved participants: citizen	0: no
	inclusion_citizen	DIII.	Involved participants: citizen	1: yes
197	inclusion_interest	bin.	Involved participants: interests	0: no
	iliciusion_iliterest	DIII.	groups	1: yes
198				name
	inclusion_other	bin.	Involved participants: other	98: no information
				available
199				0: not inclusive
			Author's/interviewee's impression:	
	*inclusion_estimat_author		How inclusive was the case?	4: inclusive
			(estimated)	98: no information
				available
200				0: insufficient evidence
				1: informed guess
	inclusion_estimat_autimpression	ord.	How valid is author's impression?	2: comprehensive,
				reliable
				99: not applicable
201			Coder's impression: How inclusive	0: not inclusive
	*inclusion_estimat_coder	ratio	and representative was the case?	
			(estimated)	4: inclusive
202				0: no
	*case_partici_mayor	bin.	Did mayor participate in case?	1: yes
	- <u>-</u> r <u>-</u> <i>1</i>		. ,	98: no information
				available
203				0: no
	*case_partici_local	bin.	Did other local politicians participate	1: yes
	politicians_other		in case?	98: no information
				available
204	inclusion_change_other		Other changes regarding inclusion.	text

205				0: consensus
			Rule for suggestion making	1: majority
	*rule_suggestion_making		(consensus, majority)	98: no information
				available
	macro-level	(refe	s to procedure! Not to case!)	
206				1: policymakers
				comment on any (top
				list) proposals
				2: policymakers
				comment on some (top
				list) proposals
			How did the policymakers react to	3: policymakers
	*decision_policymaker	nom.	procedure results?	comment whole top list
			procedure results:	4: policymakers register
				the results (without
				comments)
				5: policymakers ignore
				the results
				98: no information
				available
207				0: no
			Is there a documentation of	1: yes
	*decision_policymaker_account	bin.	policymaker reactions in	98: no information
			accountability report?	available
				99: not applicable
208				0: no suggestions for
				policy-making
				1: concrete suggestions
				for policy-making
			Author's impression: Did procedure	2: 'conceptual'
	*make_suggestions_	nom	make suggestions for policy-making?	suggestions for policy-
	aut		Concrete suggestions or 'conceptual'	making
			suggestions (Leitbilder/Leitlinien)?	3: both, concrete and
				'conceptual' suggestions
				for policy-making
				98: no information
200				available
209				0: insufficient evidence
	maka auggastions suting process	ا م	How valid is outhout a immercial 2	1: informed guess
	make_suggestions_autimpression	ord.	How valid is author's impression?	2: comprehensive,
				reliable
				99: not applicable

210				0: no debate
	debate_aut	ratio	Author's impression: How intensive was the debate in city council?	4: intensive debate 98: no information available
211	debate_autimpression	ord.	How valid is author's impression?	0: insufficient evidence 1: informed guess 2: comprehensive, reliable 99: not applicable
212	*effect_policy_aut	ratio	Author's /interviewee's impression: How strong was the effect on policy- making (output)?	0: no effect 4: strong effect 98: no information available
213	effect_policy_autimpression	ord.	How valid is author's impression?	0: insufficient evidence 1: informed guess 2: comprehensive, reliable 99: not applicable
214	*change_aut	ratio	Author's/interviewee's impression: How strong was the change of outcome (as result of procedure)?	0: no change 4: strong change 98: no information available
215	change_autimpression	ord.	How valid is author's impression?	0: insufficient evidence 1: informed guess 2: comprehensive, reliable 99: not applicable
216	*long_responsivity_aut	bin.	Author's impression: Did procedure have a long-term effect on responsivity?	0: no effects 4: strong effects 98: no information available
217	long_responsivity_autimpression	ord.	How valid is author's impression?	0: insufficient evidence 1: informed guess 2: comprehensive, reliable 99: not applicable
218	experts_policy_influence	bin.	Filter question:	0: no 1: yes named

			Information in study about expert's	
			perception of policy influence.	
219	*make_suggestions_exp	ratio	Expert: Did procedure make suggestions for policy-making? Concrete suggestions or 'conceptual' suggestions (Leitbilder/Leitlinien)?	O: no suggestions for policy-making 1: concrete suggestions for policy-making 2: 'conceptual' suggestions for policy-making 3: both, concrete and 'conceptual' suggestions for policy-making 98: no information available
220	debate_exp	ratio	Expert: How intensive was the debate in city council?	0: no debate 4: intensive debate 98: no information available
221	*effect_policy_exp	ratio	Expert: How strong was the effect on policy-making (output)?	0: no effect 4: strong effect 98: no information available
222	*change_exp	ratio	Expert: How strong was the change of outcome (as result of procedure)?	0: no change 4: strong change 98: no information available
223	*long_responsivity_exp	bin.	Expert: Did procedure have a long- term effect on responsivity?	0: no effects 4: strong effects 98: no information available
224	facts_policy_influence	bin.	Filter question: Additional information about hard facts on policy influence	0: no 1: yes
225	*make_suggestions_fac	ratio	Hard facts: Did procedure make suggestions? Concrete suggestions or 'conceptual'"?	0: no suggestions 1: concrete suggestions 2: 'conceptual' 98: no information available

226				0: no debate
220	debate_fac	ratio	Hard facts: How intensive was the debate in city council?	4: intensive debate 98: no information available
227	*effect_policy_fac	ratio	Hard facts: How strong was the effect on policy-making (output)?	0: no effect 4: strong effect 98: no information available
228	*change_fac	ratio	Hard facts: How strong was the change of outcome (as result of procedure)?	0: no change 4: strong change 98: no information available
229	*acceptance_figure	ratio	For PB: How many proposals were accepted (transformed in policies) by policymakers?	figure 97: still ongoing at end of study 98: no information available 99: not applicable
230	*implementation_figure	ratio	For PB: How many proposals were implemented?	figure 97: still ongoing at end of study 98: no information available 99: not applicable
231	*acceptance_conceptual	bin.	For LA 21: Did policymakers accept conceptual suggestions?	0: no 1: yes 97: still ongoing at end of study 98: no information available 99: not applicable
232	*implementation_conceptual	bin.	For LA 21: Were conceptual suggestions implemented?	0: no 1: yes 97: still ongoing at end of study 98: no information available 99: not applicable

233		1		0: no implementation
255	*implementation_estimat	ratio	Author's impression: How was policy (based on dialog-oriented suggestions) implemented?	4: strong implementation97: still ongoing at end of study98: no information available
234	implementation_autimpression	ord.	How valid is author's impression?	0: insufficient evidence 1: informed guess 2: comprehensive, reliable 99: not applicable
235	influence_decision_other		Which other influence is mentioned?	text area
236	procedure_proceed	bin.	Did the procedure proceed?	0: no 1: yes
237	procedure_new_participatory	bin.	Did the procedure results implement new or innovative participatory formats?	0: no 1: yes 98: no information available
238	procedure_new_participatory_det		Which new or innovative participatory formats were implemented?	text 99: not applicable
239	*identification_aut	ratio	Author's/interviewee's impression: Change of citizens' identification with municipality (as a result of the procedure (PB or LA-21)).	-2: much less identification +2: much more identification 98: no information available
240	identification_autimpression	ord.	How valid is author's impression?	0: insufficient evidence 1: informed guess 2: comprehensive, reliable 99: not applicable
241	*transparency_aut	ratio	Author's impression: Change of transparency of political decision-making. As a result of the procedure (PB or LA-21): political decision-making	-2: is much less transparent +2: is much more transparent 98: no information available
242	transparency_autimpression	ord.	How valid is author's impression?	0: insufficient evidence

		1		1: informed guess
				2: comprehensive,
				reliable
				99: not applicable
243				-2: much less political
		ratio	Author's/interviewee's impression:	interest
			Change of citizens' interest in local politics (as a result of the procedure (PB or LA-21)).	
	*local political interest_aut			+2: much more political
				interest
				98: no information
				available
244				0: insufficient evidence
			How valid is author's impression?	1: informed guess
	local political	ord.		2: comprehensive,
	interest_autimpression			reliable
				99: not applicable
245				-2: much less civic
			Author's/interviewee's impression:	engagement
			Change of citizens' engagement (as a	+2: much more civic
	*civic engagement_aut	ratio	result of the procedure (PB or LA-	engagement
			21)).	98: no information
			22//.	available
246				0: insufficient evidence
240		ord.	How valid is author's impression?	
	-i. i			1: informed guess
	civic engagement_autimpression			2: comprehensive,
				reliable
				99: not applicable
247		bin.	Filter question:	0: no
	experts changes citizenry		Information in study about expert's	1: yes
			perception of changes within	
			citizenry.	
248				-2: much less
	*identification_exp		Expert: Change of citizens'	identification
			identification with municipality (as a	
		ratio	result of the procedure (PB or LA-	+2: much more
			21)).	identification
			21)).	98: no information
				available
249	*transparency_exp	ratio	Expert: Change of transparency of	2. is much lass
			political decision-making. As a result	-2: is much less
			of the procedure (PB or LA-21):	transparent
			political decision-making	
			_	

				+2: is much more
				transparent
				98: no information
				available
250				-2: much less political
				interest
	*local political interest_exp	ratio	Expert: Change of citizens' interest	
			in local politics (as a result of the	+2: much more political
			procedure (PB or LA-21)).	interest
				98: no information
				available
251				-2: much less civic
				engagement
			Expert: Change of citizens'	+2: much more civic
	*civic engagement_exp	ratio	engagement (as a result of the	engagement
			procedure (PB or LA-21)).	98: no information
				available
252				0: no sustainable
			Author's/interviewee's impression: Local sustainable development	development
				1: sustainable
				development to a certain
	*local_sustainable_develop	ord.		degree
				2: sustainable
				development
				98: no information
				available
253				0: insufficient evidence
	local_sustainable_develop	ord.	How valid is author's impression?	1: informed guess
	_autimpression			2: comprehensive,
	_autilipression			reliable
				99: not applicable
254	results other		Any other results on	toxt
	results_other		procedure/case.	text